

# Deformation theory of reflexive modules on rational surface singularities

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# *Introduction*

## Notation and basic facts

Let  $X = \text{Spec } \mathcal{O}_X$  be an affine scheme over an algebraically closed field  $k$  (of char. 0), where  $\mathcal{O}_X$  is a local ring which is henselian and algebraic. We will assume that  $X$  is a normal surface singularity.

### Fact

There exists a minimal resolution  $\tilde{\pi} : \tilde{X} \rightarrow X$  of the singularity  $X$ .

### Definition

We say that  $X$  is a *rational* surface singularity if  $R^1\pi_*\mathcal{O}_{\tilde{X}} = 0$ .

### Facts about rational surface singularities

- ▶ Let  $E = (\tilde{X} \times_X \text{Spec } k)_{\text{red}} \subseteq \tilde{X}$ . Then  $E = \cup_{i=1}^n E_i$  where  $E_i \cong \mathbb{P}_k^1$ .
- ▶  $\text{Pic } \tilde{X} \cong \mathbb{Z}^n$  is generated by divisors  $D_i$ ,  $i = 1, \dots, n$ , with  $D_i$  transversal to  $E_i$ .
- ▶ There is an intersection theory on  $\tilde{X}$ ; the intersection form  $\langle E_i, E_j \rangle$  is negative definite.

## Definition

The resolution graph is the weighted graph with vertices corresponding to  $E_i$  and where there is an edge between  $E_i$  and  $E_j$  if  $E_i \cap E_j \neq \emptyset$ . The weight of a vertex is the self intersection number  $E_i^2$ .

## Definition

The cokernel (as abelian group) of the intersection matrix  $\langle E_i, E_j \rangle$  is denoted by  $H$ .

## Theorem

If  $X$  is a rational surface singularity, then

$$\text{Cl}(X) \cong H$$

and this is a finite group. Here  $\text{Cl}(X)$  denote the divisor class group of  $X$ , i.e. the free abelian group generated by height one prime ideals divided by principal divisors.

# Rational double points

## Definition

Let  $X$  be a rational surface singularity. We say that  $X$  is a rational double point (RDP) if  $X$  has multiplicity  $m = 2$ .

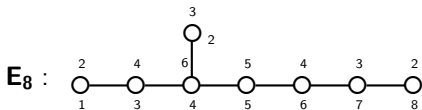
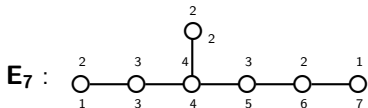
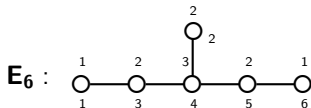
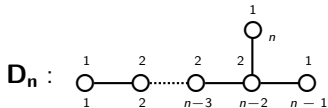
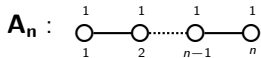
## Theorem

Assume that  $X$  is a rational surface singularity. The following are equivalent.

- ▶  $X$  is a rational double point
- ▶  $X$  is Gorenstein
- ▶  $X$  is a hypersurface
- ▶  $X$  is a complete intersection
- ▶ the dual graph of  $X$  contains only vertices with weight  $-2$
- ▶  $X$  is a quotient of  $k^2$  by a finite group  $G \subset \mathrm{SL}(k, 2)$ .

# Rational double points

## Resolution graphs



All weights are  $-2$ . The numbers below enumerate the vertices, and the numbers above give the multiplicity in the fundamental cycle.

# Reflexive modules on rational surface singularities

## Definition

A finitely generated  $\mathcal{O}_X$ -module  $M$  is called *reflexive* if the canonical map to its double  $\mathcal{O}_X$ -dual,  $M \rightarrow M^{\vee\vee}$ , is an isomorphism.

## Facts

- ▶ When  $X$  is 2-dimensional and normal, a reflexive module is the same as a maximal Cohen-Macaulay module.
- ▶  $M$  restricted to the regular locus  $U \subseteq X$  is locally free.

## Definition

Let  $\tilde{M} = \pi^* M / \text{torsion}$ . ( $\pi : \tilde{X} \rightarrow X$  is the minimal resolution.)  $\tilde{M}$  is called a *full sheaf*.

## Fact

The sheaf  $\tilde{M}$  is locally free and  $M = H^0(X, \pi_* \tilde{M})$ .

# Reflexive modules on rational surface singularities

## Facts

Assume  $X$  is a normal surface singularity.

- ▶  $X$  is rational precisely if  $X$  has finitely many rank one indecomposable reflexive modules.
- ▶  $X$  is a quotient singularity if  $X$  have finitely many indecomposable reflexive modules.

# The McKay correspondence

## Theorem

Assume that  $X$  is rational double point.

- ▶ Let  $M$  be a non-trivial indecomposable reflexive module on  $X$ . The Chern class  $c_1(\tilde{M}) \in \text{Pic } \tilde{X}$  is represented by a transversal divisor  $D_i$  to  $E_i$ , and this sets up a one to one correspondence between isomorphism classes of non-trivial, indecomposable reflexive modules on  $X$  and irreducible components of the exceptional set.
- ▶  $\text{rank } M =$  the multiplicity of  $E_i$  in the fundamental cycle  $Z$ .

# The versal deformation of a module

## Definition

Let  $M$  be a coherent  $\mathcal{O}_X$ -module.

A *deformation*  $(M_S, S, s)$  of  $M$  is a coherent  $\mathcal{O}_{X \times S}$ -module  $M_S$  which is  $S$ -flat where  $S$  is of finite type over  $k$  and  $s \in S$  is a closed point (called the central point) such that the fiber over  $s$  is  $M$ .

A deformation  $(M_R, R, r)$  is called *versal* if any other deformation  $(M_S, S, s)$  may be induced from it in the following sense. There exist a diagram

$$\begin{array}{ccc}
 (S', s') & & \\
 \alpha \downarrow \text{etale} & \searrow \beta & \\
 (S, s) & \dashrightarrow & (R, r)
 \end{array}$$

such that  $\alpha^* M_S \cong \beta^* M_R$ .

# The versal deformation of a module

## Theorem

If  $M$  is locally free on the complement of the closed point, then there exists a versal deformation  $(M_R, R, r)$  which is unique up to non-unique isomorphism in the étale topology.

## Definition

Let  $M$  and  $N$  be two reflexive modules on  $X$ , and let  $(M_R, R, r)$  be the versal deformation of  $M$ . Let  $\text{Loc}(N)$  be the set of  $k$ -points  $t \in R(k)$  such that the pullback  $M_t$  of  $M_R$  to  $t$  is isomorphic to  $N$ .

Then  $M$  locally deforms to  $N$ , denoted  $M \dashrightarrow N$ , if the Zariski closure  $\overline{\text{Loc}}(N)$  strictly contains the central  $k$ -point  $r$  corresponding to  $M$ .

If, possibly after restricting to a Zariski open set in  $R$  containing  $r$ ,  $\overline{\text{Loc}}(N) \setminus \{r\} = \text{Loc}(N)$  (as sets of  $k$ -points), then  $\overline{\text{Loc}}(N)$  is called an *absolute minimal stratum* of  $R$  and the local deformation of  $M$  to  $N$  is called *minimal*.

# *Motivation*

# Motivation

## Classification in algebraic geometry

- ▶ discrete invariants
- ▶ moduli spaces
- ▶ jump phenomena (less studied)

### Example: Reflexive modules on rational double points

By the McKay correspondence, the isomorphism classes are given by the first Chern class. Still such a reflexive module  $M$  in general has non-trivial local deformations (“jumps”) and considering the resulting partial order provides us with an enrichment of the classification.

# Motivation

## Why we work with the versal deformation

- ▶ local deformation relation
- ▶ structure on the classification
- ▶ new invariants
- ▶ new classes of singularities

This may contribute to both representation theory and singularity theory.

# *The deformation graph*

## The deformation graph

### Definition

Let  $\mathbf{G}^{\text{def}}(X, r)$  denote the directed graph with vertices corresponding to isomorphism classes for rank  $r$  reflexive modules and where there is an arrow between vertices corresponding to  $M$  and  $N$  if  $M \dashrightarrow N$ .

### Remark

The  $\mathbf{G}^{\text{def}}(X, r)$  are invariants of  $X$ .

### Notation

- ▶ A divisor  $d$  is *nef* if  $d = \sum n_i D_i$  with  $n_i \in \{0, 1, 2, \dots\}$  for all  $i$ , where  $D_i$  are transversal divisors with  $E_i \cdot D_i = 1$ .
- ▶ If  $d$  and  $d'$  are *nef* divisors, let  $d \dashrightarrow d'$  if  $d' - d$  is effective and supported on  $E = \sum E_i$ .

# The graph of nef divisors

## Definitions

- ▶ Let the *graph of nef divisors*  $\mathbf{G}^{\text{nef}}(X)$  be the directed graph with the nef divisors as vertices and edges given by  $d \dashrightarrow d'$ .
- ▶ Let  $\mathbf{G}^{\text{nef}}(X, r)$  be the full directed sub-graph of  $\mathbf{G}^{\text{nef}}(X)$  with vertices given as divisors  $c_1(\tilde{M})$  (this is always nef) for reflexive modules on  $X$  of rank  $r$ .

## Remark

By a result of Wunram  $\cup_{r \geq 1} \mathbf{G}^{\text{nef}}(X, r) = \mathbf{G}^{\text{nef}}(X)$ .

## A map of directed graphs

### Theorem (Ishii)

Assume  $X$  is rational. If  $M \dashrightarrow N$  then  $c_1(\tilde{M}) \dashrightarrow c_1(\tilde{N})$ , i.e. there is a map of directed graphs

$$c_1 : \mathbf{G}^{\text{def}}(X, r) \longrightarrow \mathbf{G}^{\text{nef}}(X, r)$$

which is surjective on the vertices.

### Remark

This follows from Ishii's existence result for canonical resolutions of the Chern class stratification in the versal deformation space.

### A surjectivity conjecture

Let  $X$  be a quotient singularity. Then

$$c_1 : \mathbf{G}^{\text{def}}(X, r) \rightarrow \mathbf{G}^{\text{nef}}(X, r)$$

is *full*.

## Evidence for the surjectivity conjecture

### Theorem (Ishii)

Let  $X$  be a rational double point. If  $M$  and  $N$  have rank  $r$  and  $c_1(\tilde{M}) \dashrightarrow c_1(\tilde{N})$ , then  $M \dashrightarrow N$ . I.e.

$$\mathbf{G}^{\text{def}}(X, r) \cong \mathbf{G}^{\text{nef}}(X, r).$$

Hence the surjectivity conjecture is true for rational double points.

### Remark

This result may be seen as an *enrichment* of the McKay correspondence; in addition to the bijection on vertices, we have bijection on the arrows.

### Proposition

If  $X$  is the cone over a rational normal curve, then the surjectivity conjecture holds, but  $\mathbf{G}^{\text{def}}(X, r) \not\cong \mathbf{G}^{\text{nef}}(X, r)$ .

# *Main results*

## Cones over rational normal curves

### Definition

Let  $X = X_m$  be the affine cone over the  $m$ -uple embedding of  $\mathbb{P}_k^1$  in  $\mathbb{P}_k^m$ , i.e.  $\mathcal{O}_X = k[u^m, u^{m-1}v, \dots, v^m]^h$  where “h” denotes Henselisation.

### Facts

- ▶  $X_m$  has multiplicity  $m$ .
- ▶ The exceptional divisor  $E \cong \mathbb{P}_k^1$ .  $E \sim -mD$  where  $D$  is any curve intersecting  $E$  transversally in one point, in particular  $E^2 = -m$ .
- ▶  $\text{Pic } \tilde{X} \cong \mathbb{Z}$  generated by  $D$ .
- ▶ The indecomposable reflexive  $\mathcal{O}_X$ -modules are  $M_i = (u^i, u^{i-1}v, u^{i-2}v^2, \dots, v^i)$  for  $0 \leq i \leq m-1$  with  $\tilde{M}_i \cong \mathcal{O}_{\tilde{X}}(iD)$  and they all have rank one.

## The number of components of the versal deformation space

### Theorem

Let  $X$  be the cone over a rational normal curve, and let  $M$  be a (not necessarily indecomposable) reflexive module on  $X$ . Then the number of irreducible components of the versal deformation space is

$$1 + \min\left(\left\lfloor \frac{d}{m} \right\rfloor, n\right) - \max\left(\left\lceil \frac{d+2r}{m} \right\rceil - r, 0\right)$$

where  $m = \text{mult}(X) \geq 3$ ,  $r = \text{rk}(M)$ ,  $d = c_1(\tilde{M})$  and  $n < r$  is the multiplicity of the rank one indecomposable module with Chern class  $m - 1$  in  $M$ .

If  $m > r$  and  $n = r$  then there are  $r - 1$  components.

## Structure of the versal deformation space

### Theorem

Let  $X$  be the cone over the rational normal curve of degree  $m$ . Let  $M$  be any (not necessarily indecomposable) reflexive module on  $X$ . The minimal stratum in the versal deformation space of  $M$  is the *cone over a*

- ▶ *Segre embedding* times
- ▶ an *incidence variety* times
- ▶ an *affine space*

intersected with *certain hyperplanes and quadratic hyper surfaces*.

## Structure of the versal deformation space

### Theorem

Let  $X$  be the cone over the rational normal curve of degree  $m$ . Let  $M$  be any (not necessarily indecomposable) reflexive module on  $X$ . To each component of the versal deformation space of  $M$ , a resolution is given as the total space of a vector bundle on a Grassmannian. The vector bundle is a sum of copies of

- ▶ the cotangent bundle,
- ▶ the canonical sub-bundle,
- ▶ the dual of the canonical quotient bundle,
- ▶ and the trivial line bundle.

Via an embedding in a trivial bundle, we obtain the components by projection.

## Other results

### Theorem

Assume  $X$  is a rational surface singularity. The number of connected components  $nc(X, r)$  in  $\mathbf{G}^{\text{def}}(X, r)$  satisfies

$$nc(X, r) \geq \#H$$

where  $\#H$  denotes the number of elements in the group  $H$ . In the case  $X$  is a rational double point  $nc(X, r) = \#H$ .

### Theorem

Let  $M$  be an indecomposable reflexive module on a rational double point. If  $\text{rk } M = 1$ , then  $M$  is terminal. If  $\text{rk } M > 1$ , then the possible deformations are classified.

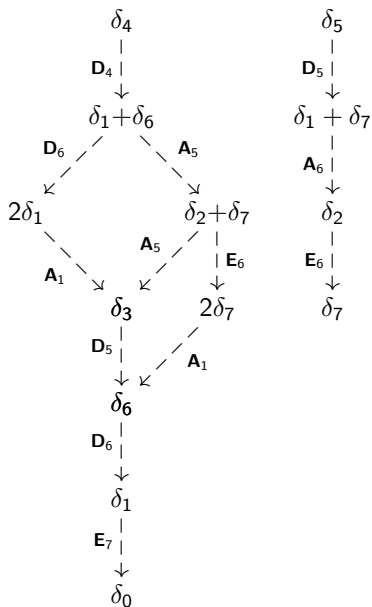
The  $\mathbf{D}_n$ -case

$$\begin{array}{ccc}
 \delta_2 \lfloor \frac{n-2}{2} \rfloor & & \delta_2 \lceil \frac{n-2}{2} \rceil - 1 \\
 \vdots & & \vdots \\
 \delta_4 & & \delta_5 \\
 | & & | \\
 \mathbf{D}_{n-2} & & \mathbf{D}_{n-3} \\
 \downarrow & & \downarrow \\
 \delta_2 & & \delta_3 \\
 | & & | \\
 \mathbf{D}_n & & \mathbf{D}_{n-1} \\
 \downarrow & & \downarrow \\
 \delta_0 & & \delta_1
 \end{array}$$

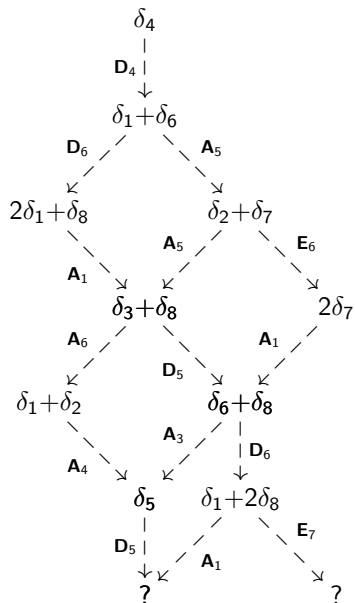
## The $E_6$ -case

$$\begin{array}{ccc} \delta_4 & \delta_5 & \delta_3 \\ | & | & | \\ \mathbf{D}_4 & \mathbf{D}_5 & \mathbf{D}_5 \\ \downarrow & \downarrow & \downarrow \\ \delta_1 + \delta_6 & \delta_1 & \delta_6 \\ | & & \\ \mathbf{A}_5 & & \\ \downarrow & & \\ \delta_2 & & \\ | & & \\ \mathbf{E}_6 & & \\ \downarrow & & \\ \delta_0 & & \end{array}$$

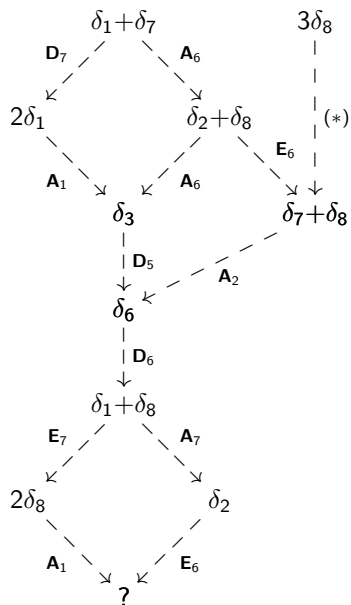
# The $E_7$ -case



# The $E_8$ -case



# The $E_8$ -case, continued



# The $E_8$ -case, continued

$$\begin{array}{c} \delta_7 \\ | \\ | \mathbf{D}_7 \\ \downarrow \\ \delta_1 \\ | \\ | \mathbf{E}_7 \\ \downarrow \\ \delta_8 \\ | \\ | \mathbf{E}_8 \\ \downarrow \\ \delta_0 \end{array}$$

# *Examples*

## A first example

- ▶  $X = \text{Spec } k[x, y, z]/(xy - z^2)$  with resolution  $\pi : \tilde{X} \rightarrow X$
- ▶ McKay correspondence  $\implies \exists!$  non-trivial indecomposable  $M_1$
- ▶  $\tilde{M}_1 = \mathcal{O}_{\tilde{X}}(D)$  and  $M_1 = \pi_* \mathcal{O}_{\tilde{X}}(D)$  where  $D \cdot E = 1$
- ▶  $M := M_1^2$ , infinitesimal deformations?
- ▶  $\text{Ext}_X^1(M, M) \cong \text{Ext}_{\tilde{X}}^1(\tilde{M}, \widetilde{M^\vee}) \cong \text{Ext}_{\tilde{X}}^1(\tilde{M}, \tilde{M}^\vee)$  by a lemma of Ishii
- ▶ We get

$$\begin{aligned}
 \text{Ext}_{\tilde{X}}^1(\tilde{M}, \tilde{M}^\vee) &\cong \text{Ext}_{\tilde{X}}^1(\mathcal{O}_{\tilde{X}}(D)^2, \mathcal{O}_{\tilde{X}}(-D)^2) \\
 &\cong H^1(\tilde{X}, \text{Hom}(\mathcal{O}_{\tilde{X}}(D)^2, \mathcal{O}_{\tilde{X}}(-D)^2)) \\
 &\cong H^1(\mathbb{P}^1, \text{Hom}(\mathcal{O}^2, \mathcal{O}^2) \otimes \mathcal{O}^2(-2)) \\
 &\cong \text{End}(k^2) \otimes H^1(\mathbb{P}^1, \mathcal{O}(-2)) \\
 &\cong \text{End}(k^2)
 \end{aligned}$$

## Example continued

One can show that the versal deformation space is given as follows

$$R = \{\varphi \mid \varphi^2 = 0\} \subset \mathbb{A}^4 = \text{End}(k^2)$$

It is an exercise to show that

$$\begin{aligned} R_{\text{red}} &= \{\varphi \mid \det(\varphi) = 0, \text{tr}(\varphi) = 0\} \\ &= \left\{ \begin{pmatrix} z & x \\ y & -w \end{pmatrix} \mid zw - xy = 0, z - w = 0 \right\} \cong X \end{aligned}$$

Let  $V = k^2$  and consider

$$T = \{(\varphi, \Lambda) \mid \varphi(\Lambda) = 0, \varphi(V) \subseteq \Lambda\} \subset \text{End}(V) \times \mathbb{P}(V)$$

$$\text{pr}_1 \downarrow$$

$$\text{End}(V)$$

## Example continued

The image of

$$T = \{(\varphi, \Lambda) \mid \varphi(\Lambda) = 0, \varphi(V) \subseteq \Lambda\} \subset \text{End}(V) \times \mathbb{P}(V)$$

$\text{pr}_1 \downarrow$

$\text{End}(V)$

is in fact  $R_{\text{red}} \cong X$  and  $T \cong \tilde{X}$ .

Moreover, as bundle over  $\mathbb{P}^1$ ,  $T$  is the cotangent bundle.

## Example

Consider  $X = X_m$  with  $m = 3$ . There are two non-trivial indecomposable modules  $M_1$  and  $M_2$ . We write  $(n_0, n_1, n_2)$  for the module  $\mathcal{O}_X^{n_0} \oplus M_1^{n_1} \oplus M_2^{n_2}$ . Let  $M = M_2^3$ .

The relevant part of the deformation graph is:

$$\begin{array}{ccc}
 (0, 0, 3) & & d = 6 \\
 \downarrow & & \\
 (1, 1, 1) \dashrightarrow (0, 3, 0) & & d = 3 \\
 \downarrow & & \\
 (3, 0, 0) & & d = 0
 \end{array}$$

From this we deduce that reduced versal deformation space  $R_{\text{red}}$  has two components  $R^3$  and  $R^0$  corresponding to the two terminal modules;  $M_1^3$  with Chern number 3 and  $\mathcal{O}_X^3$  with Chern number 0.

## Example continued

We consider  $T^3 = \mathbf{Spec} \operatorname{Sym}_{\mathcal{O}_{\mathbb{P}^2}}(T_{\mathbb{P}^2} \oplus T_{\mathbb{P}^2})$  on  $A = \operatorname{Grass}(1, 3) \cong \mathbb{P}^2$ .

We can show that  $E = \operatorname{Spec} \operatorname{Sym}_k \operatorname{Ext}_X^1(M, M)^*$

$= \operatorname{Spec} k[\{z_{ij}^{(n)}\}] = M_{3,3} \times M_{3,3}$  where  $M_{3,3}$  denotes the affine space of  $3 \times 3$ -matrices. We may consider  $T^3 \subseteq E \times \mathbb{P}^2(w_1, w_2, w_3)$  as given by the minors of

$$\begin{bmatrix} w_1 & z_{1j}^{(1)} \\ w_2 & z_{2j}^{(1)} \\ w_3 & z_{3j}^{(1)} \end{bmatrix} \quad \text{and of} \quad \begin{bmatrix} w_1 & z_{1j}^{(2)} \\ w_2 & z_{2j}^{(2)} \\ w_3 & z_{3j}^{(2)} \end{bmatrix} \quad \text{for } 1 \leq j \leq 3$$

and the entries of

$$\begin{bmatrix} z_{11}^{(1)} & z_{12}^{(1)} & z_{13}^{(1)} \\ z_{21}^{(1)} & z_{22}^{(1)} & z_{23}^{(1)} \\ z_{31}^{(1)} & z_{32}^{(1)} & z_{33}^{(1)} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} \quad \text{and of} \quad \begin{bmatrix} z_{11}^{(2)} & z_{12}^{(2)} & z_{13}^{(2)} \\ z_{21}^{(2)} & z_{22}^{(2)} & z_{23}^{(2)} \\ z_{31}^{(2)} & z_{32}^{(2)} & z_{33}^{(2)} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} .$$

## Example continued

The first set of conditions on the matrices  $(z_{ij}^{(n)})$  is the same as saying that we may write

$$(z_{ij}^{(n)}) = \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} \begin{bmatrix} \gamma_1^{(n)} & \gamma_2^{(n)} & \gamma_3^{(n)} \end{bmatrix}.$$

Then the second set of conditions may then be written

$$\begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} \begin{bmatrix} \gamma_1^{(n)} & \gamma_2^{(n)} & \gamma_3^{(n)} \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} = 0.$$

or

$$\begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} (\gamma_1^{(n)} w_1 + \gamma_2^{(n)} w_2 + \gamma_3^{(n)} w_3) = 0$$

which gives  $z_{11}^{(n)} + z_{22}^{(n)} + z_{33}^{(n)}$ ,  $n = 1, 2$ .

## Example continued

The image of the projection of  $T^3$  to  $E$  is  $R^3$  and is given as the cone over the image of the Segre embedding  $\mathbb{P}^5 \times \mathbb{P}^2 \hookrightarrow \mathbb{P}(M_{3 \times 3} \times M_{3 \times 3})$ ,

$$\left( \left( \begin{bmatrix} \gamma_1^{(1)} \\ \gamma_2^{(1)} \\ \gamma_3^{(1)} \end{bmatrix}, \begin{bmatrix} \gamma_1^{(2)} \\ \gamma_2^{(2)} \\ \gamma_3^{(2)} \end{bmatrix} \right), \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} \right) \mapsto \left( \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} \begin{bmatrix} \gamma_1^{(1)} \\ \gamma_2^{(1)} \\ \gamma_3^{(1)} \end{bmatrix}^t, \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix} \begin{bmatrix} \gamma_1^{(2)} \\ \gamma_2^{(2)} \\ \gamma_3^{(2)} \end{bmatrix}^t \right)$$

intersected with the two hyperplanes  $z_{11}^{(n)} + z_{22}^{(n)} + z_{33}^{(n)}$ ,  $n = 1, 2$ .

We can show that  $T^0 \cong T^3$ , hence  $R^0 \cong R^3$  where  $T^0$  is a bundle over the dual projective plane  $\text{Grass}(2, 3) = \check{\mathbb{P}}^2$ , and that the embedding of  $T^0 \hookrightarrow E \times \check{\mathbb{P}}^2$  is given by the map above with the  $(z_{ij}^{(n)})$ -matrices transposed.

It follows that the intersection  $R^3 \cap R^0$  is given by the image under the map above of the locus where the gamma vectors are parallel, which thus is 5-dimensional of codimension one in  $R$ . On the intersection the fiber of the versal family must be  $N = \mathcal{O}_X \oplus M_1 \oplus M_2$  as  $N$  is the only reflexive module besides  $M$  which locally deforms to both the terminal modules.

## *Representing Ishii's functor*

## Ishii's functor

### Definition

Let the functor

$$\mathcal{T}_{M_R}^d : \text{Sch}_k \rightarrow \text{Sets}$$

for any  $S$  in  $\text{Sch}_k$  be given as the set  $\mathcal{T}_{M_R}^d(S)$  of equivalence classes of triples  $(\mathcal{E}_S, \psi_S, \varphi_S)$  where

- i)  $\mathcal{E}_S$  is a locally free sheaf on  $\tilde{X}_S = \tilde{X} \times_X X_S$ ,
- ii)  $R^1\pi_*\mathcal{E}_t = 0$  and  $c_1(\mathcal{E}_t) = d$  for all pullbacks  $\mathcal{E}_t$  of  $\mathcal{E}_S$  to  $k$ -points  $t \in S(k)$ ,
- iii)  $\psi_S : S \rightarrow R$  makes  $S$  into an  $R$ -scheme.
- iv)  $\varphi_S : \pi_{S*}\mathcal{E}_S \xrightarrow{\cong} \psi_S^*M_{R'}$  on  $X_S = X_{R'} \times_{R'} S$ .

Two triples  $(\mathcal{E}_S, \psi_S, \varphi_S)$  and  $(\mathcal{E}'_S, \psi'_S, \varphi'_S)$  are equivalent if  $\psi_S = \psi'_S$  and there is an isomorphism  $\tau : \mathcal{E}_S \xrightarrow{\cong} \mathcal{E}'_S$  such that  $\pi_{S*}(\tau) = (\varphi'_S)^{-1}\varphi_S$ .

## Ishii's theorem

### Theorem

Let  $R' = R_{\text{red}}$  be the reduced versal deformation space and let  $M_{R'}$  be the induced family. The functor  $\mathcal{T}_{M_{R'}}^d$  is represented by a  $R'$ -scheme  $\psi_{T^d} : T^d = T_{M_{R'}}^d \rightarrow R'$  which is projective over  $R'$ , regular, non-empty for a finite set of Chern classes  $d$ , and their images  $\{R^d\}$  in  $R'$  constitutes a filtration of  $R'$ . There is an isomorphism between the locus  $S^d$  in  $R'$  of reflexive modules with first Chern class equal to  $d$  and the open set in  $T_{M_{R'}}^d$  corresponding to full sheaves.

## The fiber functor

### Definition

Let  $\mathcal{A} = \mathcal{A}_M^d$  be the sub-functor of  $\mathcal{T}^d$  of tuples  $(\mathcal{E}_S, \psi_S : S \rightarrow R, \varphi_S)$  where  $\psi_S$  factorises through  $\text{Spec } k$ , i.e. is trivial.

### Proposition

Let  $d = c_1(\tilde{M}) + sE$  with  $0 \leq s \leq r$  where  $r$  is the multiplicity of  $M_{m-1}$  in  $M$ . Then the functor  $\mathcal{A}_M^d$  is represented by the Grassmannian  $A = \text{Grass}(s, r)$ .

### About the proof

Assume  $(\mathcal{E}, \varphi)$  represent an element in  $\mathcal{A}_M^d(k)$ . We get  $\tilde{M} \subseteq_{\varphi} \mathcal{E} \subseteq_{\varphi} \tilde{M}^{\omega}$ . Dividing out by  $\tilde{M}$  we get  $\bar{\mathcal{E}} \subseteq \tilde{M}^{\omega} / \tilde{M} \cong \mathcal{O}_E(-1)^r$ . We get  $\bar{\mathcal{E}} \cong \mathcal{O}_E(-1)^s$ . Twisting and taking global sections we get  $k^s \subset k^r$ . This sets up the bijection on points.

## The fiber functor

### Definition

Assume  $\mathcal{M}$  is a quasi-coherent sheaf on  $\tilde{X} \times S$ . Let  $\mathcal{E}xt_{\tilde{X} \times S/S}^*(\mathcal{M}, -)$  denote the derived functor of  $q_* \mathcal{H}om_{\tilde{X} \times S}(\mathcal{M}, -) : \text{Mod}_{\tilde{X} \times S} \rightarrow \text{Mod}_S$ .

### Proposition

Let  $\mathcal{E}_A$  be the universal sheaf on  $A = \text{Grass}(s, r)$  representing  $\mathcal{A} = \mathcal{A}_M^d$ . There is a natural injective map

$$\mathcal{E}xt_{\tilde{X} \times A/A}^1(\mathcal{E}_A, \mathcal{E}_A) \hookrightarrow \text{Ext}_X^1(M, M) \times A.$$

### Remark

Note that on a closed point  $a \in A$  this map specialises to

$$\text{Ext}_{\tilde{X}}^1(\mathcal{E}_a, \mathcal{E}_a) \hookrightarrow \text{Ext}_X^1(M, M).$$

## Representing Ishii's functor

### Theorem

The image of

$$\mathcal{E}xt_{\tilde{X} \times A/A}^1(\mathcal{E}_A, \mathcal{E}_A) \hookrightarrow \text{Ext}_X^1(M, M) \times A$$

represents the functor  $\mathcal{T}_{M_R}^d$ .